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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Chen et al.

Group Art Unit: 1714

Serial No.: 09/876,984

Examiner: Shosho, Callie E.

Filed: June 8, 2001

Attorney Docket No.: 000618-0999-999

For: POLYURETHANE BASED INKS FOR

WRITING INSTRUMENTS

Date: November 26, 2002

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing and prosecution of the above-identified application that are or may be related to patentability of the claimed invention, Attorneys for Applicants hereby direct the Examiner's attention to references AV and AW which are listed on the accompanying form PTO-1449 entitled "List of References Cited By Applicant." Copies of references AV and AW are submitted herewith. Identification of the listed references is not to be construed as an admission that such references are available as "prior art" against the subject application.

Applicants request that the Examiner review all the references identified on the attached form PTO-1449, and that they be made of record in the file history of the above-captioned application.

Pursuant to 37 C.F.R. § 1.97(d), this Supplemental Information Disclosure Statement is being filed after the mailing of a first Office Action on the merits, but within three months of each item of information contained in the Supplemental Information Disclosure Statement being cited in a communication from a foreign patent office in a counterpart foreign application. Accordingly, Applicants state herein pursuant to 37 C.F.R. § 1.97(e) that each item of information contained in the Supplemental Information Disclosure Statement was first cited in a communication from a foreign

patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement. Therefore, it is believed that no fee is required.

In the event that the Patent Office believes that a fee is due, please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Date 11/26/02

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